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PTO/SB/01 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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**DECLARATION FOR UTILITY OR
DESIGN
PATENT APPLICATION
(37 CFR 1.63)**

Attorney Docket Number

18781004110

First Named Inventor

LEHMANN, Jurgen M.

COMPLETE IF KNOWN

Application Number

09/760,364

Filing Date

01/12/2001

Group Art Unit

1646

Examiner Name

Unknown

☐ Declaration
Submitted
With Initial
Filing

OR

☒ Declaration
Submitted after Initial
Filing (surcharge
(37 CFR 1.16(e))
required)

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Jurgen M. Lehmann
Andrew Kwan-Nan Shiau

(Title of the Invention) CAR MODULATORS: SCREENING AND TREATMENT OF
HYPERCHOLESTEROLEMIA

the specification of which

☐ is attached hereto

OR

☒ was filed on (MM/DD/YYYY)

01/12/2001

as United States Application Number or PCT International

Application Number 09/760,364 and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

[Page 1 of 2]

Burden Hour Statement. This form is estimated to take 21 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231

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DECLARATION — Utility or Design Patent Application

Direct all correspondence to: ☒ Customer Number 20350 OR ☐ Correspondence address below

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF SOLE OR FIRST INVENTOR:

☐ A petition has been filed for this unsigned inventor

Jurgen M.

Given Name

(first and middle [if any])

Lehmann

Family Name
or Surname

Inventor's
Signature

Date

San Carlos

Residence: City

California
State

USA
Country

Germany
Citizenship

160 Winding Way

Mailing Address

San Carlos
City

California
State

94070
ZIP

USA
COUNTRY

NAME OF SECOND INVENTOR:

☐ A petition has been filed for this unsigned inventor

Andrew Kwan-Nan

Given Name

(first and middle [if any])

Shiau

Family Name
or Surname

Inventor's
Signature

Date MAY 17, 2001

San Francisco
Residence: City

California
State

USA
Country

USA
Citizenship

34 Hugo Street, Apt. 3

Mailing Address

San Francisco
City

California
State

94122
ZIP

USA
COUNTRY

☐ Additional inventors are being named on the _____ supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto



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PTO/SB/81 (02-01)

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**POWER OF ATTORNEY OR
AUTHORIZATION OF AGENT**

Application Number	09/760,364
Filing Date	01/12/2001
First Named Inventor	LEHMANN, Jurgan M.
Title	CAR MODULATORS: SCREENING AND TREATMENT OF HYPERCHOLESTEROLEMIA
Group Art Unit	1646
Examiner Name	Unknown
Attorney Docket Number	18781004110

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I am the:

☐ Applicant/Inventor.

X Assignee of record of the entire interest. See 37 CFR 3.71.

Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

SIGNATURE of Applicant or Assignee of Record

Name

WILLIAM J. RIEFMAN

Signature

Date

5/23/01

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

X *Total of 1 forms are submitted.

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